MAPPING IMMUNIZATION FINANCING TECHNICAL STAKEHOLDERS IN KENYA: FIRST STEP TO BETTER COORDINATE PARTNERS’ TECHNICAL SUPPORT

Study Protocol

Version
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Alex ADJAGBA: Senior Health Specialist, (Health Economics, UNICEF HQ)
James OGUTA (Health Economist Consultant, UNICEF ESARO)
Peter OKOTH (Health Specialist, Kenya country office)
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1. **Background**

**The policy issue:** Currently NVIP activities are funded through domestic funds and grants (mainly GAVI alliance). Kenya has achieved low middle-income status and, as per the GAVI Alliance policy, is expected to gradually transition out of GAVI support by 2027. The period 2017/18 to 2021/22 is the preparatory transition phase that will be followed by a 5-year accelerated transition phase (2022/23-2025/26); during this period the domestic funding obligations will systematically increase. The country will have to fully finance immunization programme from 2026/27.

As part of the preparatory transition phase, it is important to initiate strategic discussions on how to ensure predictable domestic funding for immunization so as to allow the country to meet its obligations during the accelerated GAVI transition phase and sustain immunization activities.

In addition, several reforms are underway as part of the Kenya health financing strategy that will undoubtedly impact the current funding and fiscal and budgeting routine of the program.

The situation described above raised the **issue of how to adequately harness local resources for NVIP activities and how the program positions itself in this changing environment.**

2. **Purpose**

The work will consist of a systematic collection and analysis of qualitative information to determine the scope and magnitude of immunization financing activities undertaken by local and international stakeholders. The information will be collected through review of selected institutions’ documents and interviews of key individuals in those institutions.

The primary purpose of the mapping exercise is to know who doing/ and planning is to do what and how as far as immunization is concerned, and how it serves NVIP goals and objectives. The intention is therefore to generate information that will allow identification of the main local and international actors supporting immunization activities and description of the financing mechanisms for these activities. It will also allow stakeholders to see where they are relative to others and NVIP objectives, their positions, and contributions.

The information will be used to:

- Provide inputs to develop NVIP strategic plan for immunization financing
- Identify concrete actions, including “behind the scene” activities, to increase support and effective contribution to immunization financing
- Support resource mobilization
- Develop strategies to promote coordinated and concerted actions among stakeholders.
- Help the NVIP coordinate stakeholders’ efforts and plans
3. **Organization of the work**

Team will be composed as follows:

- A consultant who will be responsible for desks reviews, interviews, and data analysis
- NVIP and UNICEF (points of reference) will guide the process e.g. ensure consensus on the purpose, the identification of stakeholders, the tools (data collection and analysis) and the intended use of the results

4. **Proposed Approach**

4.1. **Desk review**

The scheduled Kenya comprehensive EPI review will include a component on *Financing and Sustainability* that will examine financing and sustainability strategies to sustain achievements of the EPI programme in the face of Gavi transitioning; the specific objective in this component is to assess the immunization financing at National and County levels and plans for transition from Gavi support for program sustainability.

This mapping exercise will build on the results of the review and complement it with additional information on the type of immunization activities financed by local actors beside NVIP and its direct donors.

The documents to review will include previous EPI reviews and JA reports, previous KHFS reports, stakeholders’ annual reports available at NVIP, publicly available documents obtained from the key actors identified for interviews (step 1 of the interview process), reports of previous research on the topic.

**Grid for the review and outline of the analysis plan** will be developed to generate information on the institution activities such as:

- Number of years in immunization activities
- Other activities
- Geographical areas of immunization and not immunization interventions and period
- Relation with NVIP strategies and objectives
- Resources used in immunization activities: human, technical, financial
- Sources of funding for immunization activities
- Collaboration with other implementing partners beside NVIP
- Funds committed to immunization activities over the next 5 years

4.2. **Interviews**

The process will follow the steps described below:

**Step 1: Identifying key stakeholders intervening at country-level**

These stakeholders will be identified from the following categories:

- Government and decentralized structures
- Donors
- Private non-profit: NGOs, CSO, Foundations and associations
- Private for-profit

NVIP relevant documents will be reviewed to identify all actors who have a potential role in immunization activities including those outside the health sector that could be involved in the policy issue.

A list of all possible stakeholders will be developed and prioritized with inputs from NVIP and UNICEF points of reference. Priority will be given to stakeholders that have the possibility to implement the solution to the policy issue i.e. how to harness local resources for immunization activities. A justification for their inclusion will be described.
The table below illustrates a sample of general list of stakeholders:

<table>
<thead>
<tr>
<th>Sector</th>
<th>Sub-sector</th>
<th>Internal/external to MoH</th>
<th># to be interviewed</th>
<th>Justification for inclusion</th>
</tr>
</thead>
<tbody>
<tr>
<td>International agencies/donors</td>
<td>UNICEF</td>
<td>External</td>
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<tr>
<td></td>
<td>WHO</td>
<td>External</td>
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<td>USAID</td>
<td>External</td>
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<td></td>
<td>World Bank</td>
<td>External</td>
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<td></td>
<td>JICA</td>
<td>External</td>
<td></td>
<td></td>
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<tr>
<td>National political</td>
<td>County governors</td>
<td>External</td>
<td></td>
<td></td>
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<tr>
<td>Public entities</td>
<td>Department of Planning and Health</td>
<td>Internal</td>
<td></td>
<td></td>
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<tr>
<td>MoH</td>
<td>Financing (concerned divisions)</td>
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<tr>
<td></td>
<td>Department of Health Sector</td>
<td>Internal</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>Coordination and Inter Government (relevant divisions)</td>
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<td></td>
<td>National Treasury MoF (concerned directorates)</td>
<td>External</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>Ministry of Education (concerned directorate)</td>
<td>External</td>
<td></td>
<td></td>
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<tr>
<td>Non-for-Profit Institutions</td>
<td>NGO: xx</td>
<td>External</td>
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<td></td>
<td>CSO</td>
<td>External</td>
<td></td>
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<tr>
<td>For profit organizations</td>
<td></td>
<td>External</td>
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Step 2: Developing stakeholders characteristics to be analysed

Stakeholders (the institution) characteristics to analyse will include the following aspects:

- Areas of interest in immunization relative to NVIP strategic plan
- Knowledge of the policy issue: how the stakeholder defines the policy issue
- Position about the policy issue: whether the stakeholder will support or oppose the strategies to address the policy issue
- Power: ability of the stakeholder to affect the implementation of the strategies to address the policy issue
- Leadership: willingness to initiate or lead actions to address the policy issue
- Ability to mobilize resources
- Potential alliances with other stakeholders (sub-contracts?)

These characteristics will be analysed by crossing findings from the document’s reviews and individuals’ responses.
Step 3: Developing information gathering and analysis tools

The standard questionnaire for interviews of institutions representative will gather information on the following points:

- Number of years in immunization activities
- Other activities
- Geographical areas of immunization and not immunization interventions and years
- Relation with NVIP strategies and objectives
- Resources used in immunization activities: human, technical, financial
- Sources of funding for immunization activities
- Other implementing partners beside NVIP
- Funds committed to immunization activities over the next 5 years
- Decision-making process in activities (immunization and others) planning and resource allocation
- Opinion on the policy issue

The questionnaire will be developed with inputs from NVIP and UNICEF points of reference; the questionnaire might be reviewed based on the results of the documents reviews. Once finalized the interview protocol will be also developed (protocol to follow during the interview process to ensure consistent and accurate data collection).

Step 4: Testing the questionnaire

The questionnaire will be tested with non-priority stakeholders i.e. those who were on the initial list but not included in the final one. As with other similar exercise, the aim of the pre-test is to:

- Familiarize the interviewer with the questionnaire
- Determine whether answers provide the information required for filling in the analysis grid
- Determine the average duration of the interviews
- Identify issues that may be require a revision of the approach (e.g reluctance to provide detailed information on their activities)

Step 5: Collecting the information

Based on the results of the pre-test, the questionnaire and the protocol will be modified as necessary.

All priority stakeholders will be interviewed, either face-to-face or via phone (especially for individuals outside Nairobi) following the protocol established.

Step 6: Analysing the information

The answers from the interviews will be organized into a systematized format aligned with the stakeholder’s characteristics (see step 2)

Each stakeholder (institution) characteristic will be established by analysing and cross-referencing:

- The information gathered through the review of the documents
- The information gathered during the interview
- The information gathered through other stakeholders (others’ perceptions)
5. **Survey Questionnaire**

**Section A: Background Information**

1. Name of the Organization: ……………………

2. Type of Organization
   a) Government Entity  
   b) Local NGO  
   c) International Organization  
   d) Other organization  
   e) FBO (faith-based organization)  
   f) civil society organization  
   g) Private consulting firm

3. Name of Informant………………………

4. Position of Informant within the organization…………………
   Phone No:…..…………… Email:………….

5. When did your organization begin its operations in Kenya? Month/Year………..

6. Geographic coverage of operations or services?
   a) National Level  
   b) County level  
   c) Both

7. Name (list) the Kenyan counties that you are currently working with/in:
   a) ………………….
   b) ………………….
   c) …………………
   d) …………………..
   e) ………………….. 
   f) ………………………
   g) ………………………

**Section B: Immunization Financing Activities**

8. How is your organization involved in immunization financing in Kenya?
   a. Advocacy  
   b. Planning  
   c. Budgeting and Costing  
   d. Resource Mobilization (e.g. Investment cases)  
   e. Diagnostics {National Health Accounts (NHA), Public Expenditures Review (PER), Public Expenditures Tracking Survey (PETS)}  
   f. Reporting and resource tracking  
   g. Overview and audit  
   h. Social Accountability  
   i. Other (Please specify) ………………….

9. Please detail specific activities that your organization has participated in or currently participating in relating to immunization financing (Include the specific county or department of government)
   N.B.- Activities include cMYP, Kenya Health Financing Strategy, GAVI joint appraisal, NHA/County Health Accounts etc. Please share any document/report on the same.

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<thead>
<tr>
<th>Activity</th>
<th>Geographical Location/County/Dept</th>
<th>Year</th>
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10. Do you collaborate/ or intend to collaborate with any other organizations in any of your activities?
    If yes, please name the organizations and the nature of collaboration.

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<th>Activity</th>
<th>Organization</th>
<th>Year</th>
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11. Which health financing activities in Kenya (not related to immunization) has/is your organization been/currently involved in?

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<th>Activity</th>
<th>Geographical Location/County/Dept.</th>
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12. Which strategic objective of the NVIP are you supporting?

Section C: Immunization Resources

13. Approximately how much financial resources have you invested in immunization financing activities in the past year?

14. Approximately how much funds have you committed to immunization financing activities over the next five years?

Section D: Policy Issues and Challenges

15. In your opinion, what are the key immunization financing related challenges facing Kenya today?

16. In your opinion, what are the existing opportunities (locally, regionally & globally) that Kenya could leverage to strengthen her immunization financing system?

17. In your opinion, how should the immunization financing forum work towards the Universal Health Coverage in Kenya?

SECTION E: Conclusion

18. Do you know any other organizations that work on immunization financing in Kenya?

19. Do you have any other comments about your organization or immunization financing in Kenya?